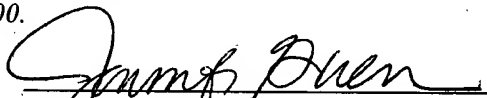


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on December 11, 2000.


Jennifer Guerra

Applicant : John G. Wasserbauer, et al.
Application No. : 09/587,074
Filed : June 2, 2000
Title : SINGLE MODE VERTICAL CAVITY SURFACE
EMITTING LASER

Grp./Div. : 2881
Examiner : To Be Determined

Docket No. : 39485/SAH/C715

**LETTER IN RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

P.O. Box 7068
Pasadena, CA 91109-7068
December 11, 2000

Commissioner:

In response to the Notice to File Missing Parts of Application dated **August 7, 2000**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. A Petition for Extension of Time.
3. Extension of Time fee of **\$445**.
4. Check for **\$460**, including the filing fee (\$395) and surcharge (\$65) for a small entity.
5. Executed Small Entity Declaration.
6. Executed Declaration and Power of Attorney.

Application No. 09/587,074

7. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Art Hasan

Reg. No. 41,057

626/795-9900

SAH/jhg

Enclosures

JHG PAS290577.1-* 12/8/00 3:31 PM



OC00000005301129



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/587,074 | 06/02/2000 | John G. Wasserbauer | 39485/PAN/C715 |

Christie Parker & Hale LLP
P O Box 7068
Pasadena, CA 91109-7068

Date Mailed: 08/07/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$78.
 ■ \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 898.**

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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| 01 FC:201 | 355.00 OP |
| 02 FC:205 | 65.00 OP |
| 03 FC:202 | 40.00 OP |